#### **HUSCH BLACKWELL**

## SECURITIES & CORPORATE GOVERNANCE



Clients turn to Husch Blackwell's Securities & Corporate Governance law team for guidance on structuring, negotiating, and documenting capital market transactions that are critical to long-term growth. Clients benefit from our thorough understanding of federal and state securities laws and stock exchange listing requirements, as well the ongoing evolution of best practices in corporate governance post Dodd-Frank and Sarbanes-Oxley.

Our capital market and securities law team partners with clients in evaluating and crafting solutions to the various transactional and disclosure challenges that can arise during initial public offerings (IPOs), recapitalizations, acquisition, and divestiture transactions and private equity investments, as well as more routine capital market transactions and employee offerings. Our law firm's goal is always to help our clients achieve results that meet their business objectives and advance their interests.

# Our guidance to clients on capital market, corporate securities and governance law includes:

Securities and Exchange Commission (SEC) disclosure filings (e.g., forms 10-K, 10-Q and 8-K)

Proxy solicitations and shareholder proposal response

Institutional investor relation matters

Insider trading compliance

# **Contact Information**

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## **HUSCH BLACKWELL**

Ongoing corporate informational disclosures

Corporate governance documents and related filings for listing companies

Annual self-evaluations

Shareholder communications

Indemnification and insurance