

FINANCIAL SERVICES LITIGATION



Husch Blackwell has broad experience managing financial litigation in federal and state courts on matters as varied as creditors' rights, lender liability, and franchising. We represent corporations, directors, and officers; broker-dealers; and other securities industry professionals in litigation and arbitration, as well as in matters involving the Securities Exchange and Commission (SEC) and other regulatory bodies.

Our attorneys also represent broker-dealers and investment advisors in customer litigation and Financial Industry Regulatory Authority (FINRA) arbitrations involving claims of unsuitability, churning, negligence, breach of fiduciary duty, and fraud. We defend and prosecute claims of breach of noncompetition agreements, misappropriation of trade secrets, and breach of fiduciary duties on the recruitment of registered representatives.

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